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PATENT
Docket No.: 19226/950 (R-5557)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ren et al.

Serial No. : 09/336,126

Filed : June 18, 1999

For : FREE-STANDING AND ALIGNED CARBON
NANOTUBES AND SYNTHESIS THEREOF

Examiner:
Unknown

Art Unit:
1754

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

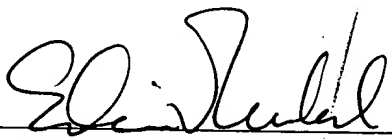
Dear Sir:

In compliance with 37 C.F.R. §§ 1.97 and 1.98, applicants hereby bring to the attention of the U.S. Patent and Trademark Office the references listed on the attached PTO-1449 form. The pertinence of these references is indicated in the International Search Report (copy enclosed) for the corresponding PCT application.

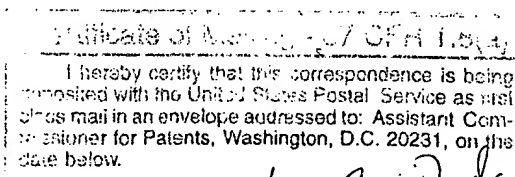
Pursuant to 37 C.F.R. § 1.97(b), no fee is required. If additional fees are required, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 14-1138.

Respectfully submitted,

Date: December 8, 1999


Edwin V. Merkel
Registration No. 40,087

NIXON PEABODY LLP
Clinton Square, P.O. Box 1051
Rochester, New York 14603
Telephone: (716) 263-1128
Facsimile: (716) 263-1600


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.